PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE rwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Signature

Total Number of Pages in This Submission

		<i>\bullet</i>
Application Number	09/903,685	<b>N</b>
Filing Date	July 13, 2001	YE
First Named Inventor	Gary B. Carman	2001
Art Unit	1744	
Examiner Name	Sean E. Conley	9
Attorney Docket Number	020245.0112C1US	

ENCLOSURES (check all that apply)							
Fee Transmittal F	orm	☐ Drawing(s)		After Allowance Communication to Group			
Fee Attached		Licensing-relate	d Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply		Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
☐ After Final		Petition to Conv Provisional App		Proprietary Information			
Affidavits/declaration(s)		Power of Attorne Change of Corre	ey, Revocation espondence Address	Status Letter			
Extension of Time Request		Terminal Discla	imer	Other Enclosure(s) (please identify below):			
Express Abandonment Request		Request for Ref		Statement Under 37 C.F.R. § 3.73 (b)			
Information Disclosure Statement							
Certified Copy of Priority Document(s)		Remarks					
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name	Kristina M. Castellano, Reg. No. 41,092 Patton Boggs LLP						
Signature	re Kon Catillaro						
Date	January 9, 2004						
CERTIFICATE OF MAILING							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE	TR	AN	SMI	TT	AL
•	for	FY	200	4	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

AL AMOUNT OF PAYMENT (\$)	55
---------------------------	----

Complete if Known							
Application Number	09/903,685	· A					
Filing Date	July 13, 2001	*CA					
First Named Inventor	Gary B. Carman	AN SIL					
Examiner Name	Sean E. Conley	x 15					
Art Unit	1744	C, 2001					
Attorney Docket No.	020245.0112C1US	700					

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)							
Michael Conditioned Manager Cother Distance		3. ADDITIONAL FEES								
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  Order ☐ Deposit Account:		<u>Large</u>	Entity	Small E	ntity					
Deposit					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account	50-2228				1051	130	2051	65	Surcharge - late filing fee or oath	
Number				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit					1053	130	1053	130	Non-English specification	
Account Patton Boggs LLP		1812	2,520	1812	2,520	For filing a request for reexamination				
Name The Director is authorized to: (check all that apply)			1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
☐ Charge fee(s) indicated below ☑ Credit any overpayments ☑ Charge any additional fee(s) during the pendency of this application			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
		w, except for the f	iling fee		1251	110	2251	55	Extension for reply within first month	
to the above-identified deposit account.  FEE CALCULATION			1252	420	2252	210	Extension for reply within second month			
1. BASIC FI	LING FEE				1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity				1254	1,480	2254	740	Extension for reply within fourth month	
	ee Fee ode (\$)	Fee Description	Fee Paid		1255	2,010	2255	1,005	Extension for reply within fifth month	
	001 385	Utility filing fee	100141	٦	1401	330	2401	165	Notice of Appeal	
	002 170	Design filing fee		┪	1402	330	2402	165	Filling a brief in support of an appeal	
1 1	002 170	Plant filing fee		┥	1403	290	2403	145	Request for oral hearing	
1004 770 2	004 385	Reissue filing fee			1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filling fee		1452	110	2452	55	Petition to revive – unavoidable				
SUBTOTAL (1) (\$) 0		1453	1,330	2453	665	Petition to revive - unintentional				
				1501	1,330	2501	665	Utility issue fee (or reissue)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			1502	480	2502	240	Design issue fee			
			e from Fee		1503	640	2503	320	Plant issue fee	
Total Claims	-20 ** =	Claims bel	ow Paid = 0	_	1460	130	1460	130	Petitions to the Commissioner	
Independent	=======================================	` <del> `</del>   ^ -		╡	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	-3 ** =	• 0 X	= 0		1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent Large Entity	: Small Ent	X	= 0		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Fee F	Fee <u>Fee Descript</u> \$)	ion_		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	· -
1202 18	2202	·-	ess of 20		1810	770	2810	385	For each additional invention to be	
1201 86		• • • • • • • • • • • • • • • • • • • •	claims in excess of 3						examined (37 CFR § 1.129(b))	
1203 290	2203 1	45 Multiple depe	ndent claim, if not pa	id	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43 ** Reissue independent claims over original patent			1802	900	1802	900	Request for expedited examination			
1205 18	2205 9	** Paiceus cla	aims in excess of 20	and					of a design application	
		SUBTOTAL (2)	(\$) 0	٦	Other fee (specify) <u>Terminal disclaimer</u>				55.00	
***************************************	and if an	eter For Beignung an			*Redu	ced by B	asic Filin	g Fee P	aid SUBTOTAL (3) (\$) 55	

SUBMITTED BY . Complete (if applicable)

Name (Print/Type) Kristina M. Castellano (Attorney/Agent) 41,092 Telephone (703) 744-8029

Signature Date January 9, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.